

FACTS

WHAT DOES PRUDEO PARTNERS, LLC DO WITH YOUR PERSONAL INFORMATION?

Why?

Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.

What?

The types of personal information we collect, and share depend on the product or service you have with us. This information can include:

- Social Security number and income
- Account balances and assets
- Transaction history

How?

All financial companies need to share customers' personal information to run their everyday business. In the section below, we list the reasons financial companies can share their customers' personal information; the reasons Prudeo Partners, LLC chooses to share; and whether you can limit this sharing.

| Reasons we can share your personal information | Does Prudeo Partners, LLC share? | Can you limit this sharing? |
|--|----------------------------------|-----------------------------|
| For our everyday business purposes— such as to process your transactions, maintain your account(s), respond to court orders and legal investigations, or report to credit bureaus | Yes | No |
| For our marketing purposes— to offer our products and services to you | Yes | No |
| For joint marketing with other financial companies | No | Not Applicable |
| For our affiliates' everyday business purposes— information about your transactions and experiences | No | Not Applicable |
| For our affiliates' everyday business purposes— information about your creditworthiness | No | Not Applicable |
| For our affiliates to market to you | No | Not Applicable |
| For nonaffiliates to market to you | No | Not Applicable |

To limit our sharing

- Call 803-739-6311—our menu will prompt you through your choice(s)
- Visit us online: prudeo.com or
- Mail the **form** below

Please note:

If you are a *new* customer, we can begin sharing your information 30 days from the date we sent this notice. When you are *no longer* our customer, we continue to share your information as described in this notice.

However, you can contact us at any time to limit our sharing.

Questions?

Call 803-739-6311 or go to prudeo.com



Mail-in Form

Leave Blank OR

If you have a joint account, your choice(s) will apply to everyone on your account unless you mark below.

- Apply my choices only to me

Mark any/all you want to limit:

- Do not share information about my creditworthiness with your affiliates for their everyday business purposes.
- Do not allow your affiliates to use my personal information to market to me.
- Do not share my personal information with nonaffiliates to market their products and services to me.

Name

Address

City, State, Zip

Account #

Mail to:

Prudeo Partners, LLC
602 Meeting Street
Suite C
West Columbia, SC
29169

| Who we are | |
|---|--|
| Who is providing this notice? | Prudeo Partners, LLC |
| What we do | |
| How does Prudeo Partners, LLC protect my personal information? | To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include computer safeguards and secured files and buildings. We make every effort to protect your information through our Cybersecurity policies and information security program. |
| How does Prudeo Partners, LLC collect my personal information? | <p>We collect your personal information, for example, when you:</p> <ul style="list-style-type: none"> ■ Open an account or deposit money ■ Apply for a loan ■ Enter into an investment advisory contract ■ Provide information about your investments <p>We also collect your personal information from others, such as credit bureaus, affiliates, or other companies.</p> |
| Why can't I limit all sharing? | <p>Federal law gives you the right to limit only</p> <ul style="list-style-type: none"> ■ sharing for affiliates' everyday business purposes—information about your creditworthiness ■ affiliates from using your information to market to you ■ sharing for nonaffiliates to market to you <p>State laws and individual companies may give you additional rights to limit sharing.</p> |
| What happens when I limit sharing for an account, I hold jointly with someone else? | Your choices will apply to everyone on your account—unless you tell us otherwise. |
| Definitions | |
| Affiliates | <p>Companies related by common ownership or control. They can be financial and nonfinancial companies.</p> <ul style="list-style-type: none"> ■ We have no affiliates at this time. |
| Nonaffiliates | <p>Companies not related by common ownership or control. They can be financial and nonfinancial companies.</p> <ul style="list-style-type: none"> ■ Axos Advisor Services, Charles Schwab & Co., Inc. and/or TD Ameritrade who serve as custodian of client assets. |
| Joint marketing | <p>A formal agreement between nonaffiliated financial companies that together market financial products or services to you.</p> <ul style="list-style-type: none"> ■ At this time, Prudeo Partners, LLC has no joint marketing agreements. |
| Other important information | |
| <p>You may have other privacy protections under applicable state laws. To the extent the state laws apply, we will comply with them when we share information about you, and in some cases may be limited by you.</p> <p>If you have questions about these policies, please contact our Chief Compliance Officer at 662-470-1970.</p> | |